Fourth Edition

PRINCIPLES OF Electronic Materials & Devices







This textbook represents a first course in electronic materials and devices for undergraduate students. With the additional topics, *Principles of Electronic Materials and Devices*, Fourth Edition can also be used in a graduate-level introductory course in electronic materials for electrical engineers and material scientists. The fourth edition is an extensively revised and extended version of the third edition based on reviewer comments and the developments in electronic and optoelectronic materials over the last ten years.

The fourth edition is one of the few books on the market that has a broad coverage of electronic materials that today's scientists and engineers need. The revisions have improved the rigor without sacrificing the original semi-quantitative approach that both the students and instructors like.

IMPORTANT FEATURES

- The principles are developed with the minimum of mathematics and with the emphasis on physical ideas. Quantum mechanics is part of the course but without its difficult mathematical formalism.
- Robust illustration package
- The end of each chapter includes a section called Additional Topics to further develop important concepts, to introduce interesting applications, or to prove a theorem.

NEW TO THE FOURTH EDITION

- Over 20 new and expanded topics (see Preface for full list)
- 20% more worked examples
- Over 30% more homework problems
- New end-of-chapter problems with practical applications





PRINCIPLES OF ELECTRONIC MATERIALS AND DEVICES

PRINCIPLES OF ELECTRONIC MATERIALS AND DEVICES

FOURTH EDITION

S. O. Kasap University of Saskatchewan Canada





PRINCIPLES OF ELECTRONIC MATERIALS AND DEVICES, FOURTH EDITION

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BRIEF CONTENTS

Chapter 1 Elementary Materials Science Concepts 3

Chapter 2 Electrical and Thermal Conduction in Solids: Mainly Classical Concepts 125

Chapter 3 Elementary Quantum Physics 213

Chapter 4 Modern Theory of Solids 313

Chapter 5 Semiconductors 411

Chapter 6 Semiconductor Devices 527

Chapter 7 Dielectric Materials and Insulation 659 Chapter 8 Magnetic Properties and Superconductivity 767

Chapter 9 Optical Properties of Materials 859

Appendix A Bragg's Diffraction Law and X-ray Diffraction 941

Appendix B Major Symbols and Abbreviations 946

Appendix C Elements to Uranium 953

Appendix D Constants and Useful Information 956 Index 961

Periodic Table 978



Paul Dirac (1902–1984) and Werner Heisenberg (1901–1976) walking outdoors in Cambridge circa 1930. They received the Nobel Prize in Physics in 1928 and 1932, respectively.

Courtesy of AIP Emilio Segre Visual Archives, Physics Today Collection



Max Planck (1858–1947), a German theoretical physicist, was one of the originators of quantum theory, and won the Nobel Prize in Physics in 1918. His Nobel citation is *"in recognition of the services he rendered to the advancement of Physics by his discovery of energy quanta"*..

Preface xiii

Chapter 1

Elementary Materials Science Concepts 3

- 1.1 Atomic Structure and Atomic Number 3
- 1.2 Atomic Mass and Mole 8
- 1.3 Bonding and Types of Solids 9
 - 1.3.1 Molecules and General Bonding Principles 9
 - 1.3.2 Covalently Bonded Solids: Diamond 11
 - 1.3.3 Metallic Bonding: Copper 13
 - 1.3.4 Ionically Bonded Solids: Salt 14
 - 1.3.5 Secondary Bonding 18
 - 1.3.6 Mixed Bonding 22
- 1.4Kinetic Molecular Theory251.4.1Mean Kinetic Energy and
 - Temperature 25
 - 1.4.2 Thermal Expansion 32
- 1.5 Molecular Velocity and Energy Distribution 37
- 1.6 Molecular Collisions and Vacuum Deposition 41
- 1.7 Heat, Thermal Fluctuations, and Noise 45
- 1.8 Thermally Activated Processes 50
 - 1.8.1 Arrhenius Rate Equation 50
 - 1.8.2 Atomic Diffusion and the Diffusion Coefficient 52
- 1.9 The Crystalline State 55
 - 1.9.1 Types of Crystals 55
 - 1.9.2 Crystal Directions and Planes 61
 - 1.9.3 Allotropy and Carbon 66
- 1.10 Crystalline Defects and Their Significance 69
 - 1.10.1 Point Defects: Vacancies and Impurities 69

- 1.10.2 Line Defects: Edge and Screw Dislocations 73
- 1.10.3 Planar Defects: Grain Boundaries 77
- 1.10.4 Crystal Surfaces and Surface Properties 79
- 1.10.5 Stoichiometry, Nonstoichiometry, and Defect Structures 82
- 1.11 Single-Crystal Czochralski Growth 82
- 1.12 Glasses and Amorphous Semiconductors 85
 - 1.12.1 Glasses and Amorphous Solids 85
 - 1.12.2 Crystalline and Amorphous Silicon 88
- 1.13 Solid Solutions and Two-Phase Solids 90
 - 1.13.1 Isomorphous Solid Solutions: Isomorphous Alloys 90
 - 1.13.2 Phase Diagrams: Cu–Ni and Other Isomorphous Alloys 91
 - 1.13.3 Zone Refining and Pure Silicon Crystals 95
 - 1.13.4 Binary Eutectic Phase Diagrams and Pb–Sn Solders 97
- Additional Topics 102
- 1.14 Bravais Lattices 102
- 1.15 Grüneisen's Rule 105
- Defining Terms 107
- Ouestions and Problems 111

Chapter 2

Electrical and Thermal Conduction in Solids: Mainly Classical Concepts 125

- 2.1 Classical Theory: The Drude Model 126
- 2.2 Temperature Dependence of Resistivity: Ideal Pure Metals 134
- 2.3 Matthiessen's and Nordheim's Rules 137
 2.3.1 Matthiessen's Rule and the Temperature Coefficient of Resistivity (α) 137

	2.3.2	Solid Solutions and Nordheim's	
		Rule 145	
2.4	Resistivity of Mixtures and Porous		
	Materials 152		
	2.4.1	Heterogeneous Mixtures 152	
	2.4.2	Two-Phase Alloy (Ag–Ni) Resistivity	
		and Electrical Contacts 156	
2.5	The Hall Effect and Hall Devices 157		
2.6	Thermal Conduction 162		
	2.6.1	Thermal Conductivity 162	
	2.6.2	Thermal Resistance 166	
2.7	Electrical Conductivity of		
	Nonmetals 167		
	2.7.1	Semiconductors 168	
	2.7.2	Ionic Crystals and Glasses 172	
Additional Topics 177			
2.8	Skin Effect: HF Resistance of a		
	Conduc	ctor 177	
2.9	AC Conductivity σ_{ac} 180		
2.10	Thin Metal Films 184		
	2.10.1	Conduction in Thin Metal Films 184	
	2.10.2	Resistivity of Thin Films 184	
2.11	Interconnects in Microelectronics 190		
2.12	2 Electromigration and Black's		
		on 194	
Defining Terms 196			
Questions and Problems 198			

Chapter 3

Elementary Quantum Physics 213

- 3.1 PHOTONS 213
 - 3.1.1 Light as a Wave 213
 - 3.1.2 The Photoelectric Effect 216
 - 3.1.3 Compton Scattering 221
 - 3.1.4 Black Body Radiation 224
- 3.2 The Electron as a Wave 227
 - 3.2.1 De Broglie Relationship 227
 - 3.2.2 Time-Independent Schrödinger Equation 231
- 3.3 Infinite Potential Well: A Confined Electron 235
- 3.4 Heisenberg's Uncertainty Principle 241
- 3.5 Confined Electron in a Finite Potential Energy Well 244

- 3.6 Tunneling Phenomenon: Quantum Leak 248
- 3.7 Potential Box: Three Quantum Numbers 254
- 3.8 Hydrogenic Atom 257
 - 3.8.1 Electron Wavefunctions 257
 - 3.8.2 Quantized Electron Energy 262
 - 3.8.3 Orbital Angular Momentum and Space Quantization 266
 - 3.8.4 Electron Spin and Intrinsic Angular Momentum S 271
 - 3.8.5 Magnetic Dipole Moment of the Electron 273
 - 3.8.6 Total Angular Momentum J 277
- 3.9 The Helium Atom and the Periodic Table 278
 - 3.9.1 He Atom and Pauli Exclusion Principle 278
 - 3.9.2 Hund's Rule 281
- 3.10 Stimulated Emission and Lasers 283
 - 3.10.1 Stimulated Emission and Photon Amplification 283
 - 3.10.2 Helium–Neon Laser 287
 - 3.10.3 Laser Output Spectrum 290
- Additional Topics 292
- 3.11 Optical Fiber Amplifiers 292

Defining Terms 294

Questions and Problems 298

Chapter 4

Modern Theory of Solids 313

- 4.1 Hydrogen Molecule: Molecular Orbital Theory of Bonding 313
- 4.2 Band Theory of Solids 319
 - 4.2.1 Energy Band Formation 319
 - 4.2.2 Properties of Electrons in a Band 325
- 4.3 Semiconductors 328
- 4.4 Electron Effective Mass 3344.5 Density of States in an Energy
- I.5 Density of States in an Energy Band 336
- 4.6 Statistics: Collections of Particles 343
 - 4.6.1 Boltzmann Classical Statistics 343
 - 4.6.2 Fermi–Dirac Statistics 344

viii

- 4.7 Quantum Theory of Metals 346
 - 4.7.1 Free Electron Model 346
 - 4.7.2 Conduction in Metals 349
- 4.8 Fermi Energy Significance 352
 - 4.8.1 Metal–Metal Contacts: Contact Potential 352
 - 4.8.2 The Seebeck Effect and the Thermocouple 355
- 4.9 Thermionic Emission and Vacuum Tube Devices 364
 - 4.9.1 Thermionic Emission: Richardson– Dushman Equation 364
 - 4.9.2 Schottky Effect and Field Emission 368
- 4.10 Phonons 374
 - 4.10.1 Harmonic Oscillator and Lattice Waves 374
 - 4.10.2 Debye Heat Capacity 379
 - 4.10.3 Thermal Conductivity of Nonmetals 384
 - 4.10.4 Electrical Conductivity 387
- Additional topics 388
- 4.11 Band Theory of Metals: Electron Diffraction in Crystals 388
- Defining Terms 397
- Questions and Problems 399

Chapter 5

- Semiconductors 411
- 5.1 Intrinsic Semiconductors 412
 - 5.1.1 Silicon Crystal and Energy Band Diagram 412
 - 5.1.2 Electrons and Holes 413
 - 5.1.3 Conduction in Semiconductors 416
 - 5.1.4 Electron and Hole Concentrations 418
- 5.2 Extrinsic Semiconductors 426
 - 5.2.1 *n*-Type Doping 427
 - 5.2.2 *p*-Type Doping 429
 - 5.2.3 Compensation Doping 430
- 5.3 Temperature Dependence of Conductivity 435
 - 5.3.1 Carrier Concentration Temperature Dependence 435
 - 5.3.2 Drift Mobility: Temperature and Impurity Dependence 440

- 5.3.3 Conductivity Temperature Dependence 443
- 5.3.4 Degenerate and Nondegenerate Semiconductors 445
- 5.4 Direct and Indirect Recombination 447
- 5.5 Minority Carrier Lifetime 451
- 5.6 Diffusion and Conduction Equations, and Random Motion 457
- 5.7 Continuity Equation 463
 - 5.7.1 Time-Dependent Continuity Equation 463
 - 5.7.2 Steady-State Continuity Equation 465
- 5.8 Optical Absorption 469
- 5.9 Piezoresistivity 473
- 5.10 Schottky Junction 477
 - 5.10.1 Schottky Diode 477
 - 5.10.2 Schottky Junction Solar Cell and Photodiode 482
- 5.11 Ohmic Contacts and Thermoelectric Coolers 487
- Additional Topics 492
- 5.12 Seebeck Effect in Semiconductors and Voltage Drift 492
- 5.13 Direct and Indirect Bandgap Semiconductors 495
- 5.14 Indirect Recombination 505
- 5.15 Amorphous Semiconductors 505
- Defining Terms 508
- Questions and Problems 511

Chapter 6

Semiconductor Devices 527

- 6.1 Ideal *pn* Junction 528
 - 6.1.1 No Applied Bias: Open Circuit 528
 - 6.1.2 Forward Bias: Diffusion Current 533
 - 6.1.3 Forward Bias: Recombination and Total Current 539
 - 6.1.4 Reverse Bias 541
- 6.2 pn Junction Band Diagram 548
 - 6.2.1 Open Circuit 548
 - 6.2.2 Forward and Reverse Bias 550
- 6.3 Depletion Layer Capacitance of the *pn* Junction 553

- 6.4 Diffusion (Storage) Capacitance and Dynamic Resistance 559
- 6.5 Reverse Breakdown: Avalanche and Zener Breakdown 562
 - 6.5.1 Avalanche Breakdown 562
 - 6.5.2 Zener Breakdown 564
- 6.6 Light Emitting Diodes (LED) 566
 - 6.6.1 LED Principles 566
 - 6.6.2 Heterojunction High-Intensity LEDs 568
 - 6.6.3 Quantum Well High Intensity LEDs 569
- 6.7 Led Materials and Structures 572
- 6.8 Led Output Spectrum 576
- 6.9 Brightness and Efficiency of LEDs 582
- 6.10 Solar Cells 586
 - 6.10.1 Photovoltaic Device Principles 586
 - 6.10.2 Series and Shunt Resistance 593
 - 6.10.3 Solar Cell Materials, Devices, and Efficiencies 595
- 6.11 Bipolar Transistor (BJT) 598
 - 6.11.1 Common Base (CB) DC Characteristics 598
 - 6.11.2 Common Base Amplifier 607
 - 6.11.3 Common Emitter (CE) DC Characteristics 609
 - 6.11.4 Low-Frequency Small-Signal Model 611
- 6.12 Junction Field Effect Transistor (JFET) 614
 - 6.12.1 General Principles 614
 - 6.12.2 JFET Amplifier 620
- 6.13 Metal-Oxide-Semiconductor Field Effect Transistor (MOSFET) 624
 - 6.13.1 Field Effect and Inversion 624
 - 6.13.2 Enhancement MOSFET 626
 - 6.13.3 Threshold Voltage 631
 - 6.13.4 Ion Implanted MOS Transistors and Poly-Si Gates 633
- Additional Topics 635
- 6.14 *pin* Diodes, Photodiodes, and Solar Cells 635
- 6.15 Semiconductor Optical Amplifiers and Lasers 638
- Defining Terms 641
- Questions and Problems 645

Chapter 7

Dielectric Materials and Insulation 659

- 7.1 Matter Polarization and Relative Permittivity 660
 - 7.1.1 Relative Permittivity: Definition 660
 - 7.1.2 Dipole Moment and Electronic Polarization 661
 - 7.1.3 Polarization Vector P 665
 - 7.1.4 Local Field E_{loc} and Clausius– Mossotti Equation 669
- 7.2 Electronic Polarization: Covalent Solids 671
- 7.3 Polarization Mechanisms 673
 - 7.3.1 Ionic Polarization 673
 - 7.3.2 Orientational (Dipolar) Polarization 674
 - 7.3.3 Interfacial Polarization 676
 - 7.3.4 Total Polarization 678
- 7.4 Frequency Dependence: Dielectric Constant and Dielectric Loss 679
 - 7.4.1 Dielectric Loss 679
 - 7.4.2 Debye Equations, Cole–Cole Plots, and Equivalent Series Circuit 688
- 7.5 Gauss's Law and Boundary Conditions 691
- 7.6 Dielectric Strength and Insulation Breakdown 696
 - 7.6.1 Dielectric Strength: Definition 696
 - 7.6.2 Dielectric Breakdown and Partial Discharges: Gases 697
 - 7.6.3 Dielectric Breakdown: Liquids 700
 - 7.6.4 Dielectric Breakdown: Solids 701
- 7.7 Capacitor Dielectric Materials 710
 - 7.7.1 Typical Capacitor Constructions 710
 - 7.7.2 Dielectrics: Comparison 715
- 7.8 Piezoelectricity, Ferroelectricity, and Pyroelectricity 719
 - 7.8.1 Piezoelectricity 719
 - 7.8.2 Piezoelectricity: Quartz Oscillators and Filters 724
 - 7.8.3 Ferroelectric and Pyroelectric Crystals 727

х

Additional Topics 734

- 7.9 Electric Displacement and Depolarization Field 734
- 7.10 Local Field and the Lorentz Equation 738
- 7.11 Dipolar Polarization 740
- 7.12 Ionic Polarization and Dielectric Resonance 742
- 7.13 Dielectric Mixtures and Heterogeneous Media 747

Defining Terms 750

Questions and Problems 753

Chapter 8

Magnetic Properties and Superconductivity 767

- 8.1 Magnetization of Matter 768
 - 8.1.1 Magnetic Dipole Moment 768
 - 8.1.2 Atomic Magnetic Moments 769
 - 8.1.3 Magnetization Vector M 770
 - 8.1.4 Magnetizing Field or Magnetic Field Intensity H 773
 - 8.1.5 Magnetic Permeability and Magnetic Susceptibility 774
- 8.2 Magnetic Material Classifications 778
 - 8.2.1 Diamagnetism 778
 - 8.2.2 Paramagnetism 780
 - 8.2.3 Ferromagnetism 781
 - 8.2.4 Antiferromagnetism 781
 - 8.2.5 Ferrimagnetism 782
- 8.3 Ferromagnetism Origin and the Exchange Interaction 782
- 8.4 Saturation Magnetization and Curie Temperature 785
- 8.5 Magnetic Domains: Ferromagnetic Materials 787
 - 8.5.1 Magnetic Domains 787
 - 8.5.2 Magnetocrystalline Anisotropy 789
 - 8.5.3 Domain Walls 790
 - 8.5.4 Magnetostriction 793
 - 8.5.5 Domain Wall Motion 794
 - 8.5.6 Polycrystalline Materials and the *M* versus *H* Behavior 795
 - 8.5.7 Demagnetization 799
- 8.6 Soft and Hard Magnetic Materials 801 8.6.1 Definitions 801

- 8.6.2 Initial and Maximum Permeability 802
- 8.7 Soft Magnetic Materials: Examples and Uses 803
- 8.8 Hard Magnetic Materials: Examples and Uses 806
- 8.9 Energy Band Diagrams and Magnetism 812
 - 8.9.1 Pauli Spin Paramagnetism 812
 - 8.9.2 Energy Band Model of Ferromagnetism 814
- 8.10 Anisotropic and Giant
- Magnetoresistance 815
- 8.11 Magnetic Recording Materials 820
 - 8.11.1 General Principles of Magnetic Recording 820
 - 8.11.2 Materials for Magnetic Storage 825
- 8.12 Superconductivity 829
 - 8.12.1 Zero Resistance and the Meissner Effect 829
 - 8.12.2 Type I and Type II Superconductors 832
 - 8.12.3 Critical Current Density 834
- 8.13 Superconductivity Origin 838
- Additional Topics 840
- 8.14 Josephson Effect 840
- 8.15 Flux Quantization 842
- Defining Terms 843
- Questions and Problems 847

Chapter 9

Optical Properties of Materials 859

- 9.1 Light Waves in a Homogeneous Medium 860
- 9.2 Refractive Index 863
- 9.3 Dispersion: Refractive Index–Wavelength Behavior 865
- 9.4 Group Velocity and Group Index 870
- 9.5 Magnetic Field: Irradiance and Poynting Vector 873
- 9.6 Snell's Law and Total Internal Reflection (TIR) 875
- 9.7 Fresnel's Equations 879
 - 9.7.1 Amplitude Reflection and Transmission Coefficients 879

9.7.2 Intensity, Reflectance, and Transmittance 885 98 Complex Refractive Index and Light Absorption 890 9.9 Lattice Absorption 898 9.10 Band-To-Band Absorption 900 9.11 Light Scattering in Materials 903 9.12 Attenuation in Optical Fibers 904 9.13 Luminescence, Phosphors, and White Leds 907 9.14 Polarization 912 9.15 Optical Anisotropy 914 9.15.1 Uniaxial Crystals and Fresnel's Optical Indicatrix 915 9.15.2 Birefringence of Calcite 919 9.15.3 Dichroism 920 9.16 Birefringent Retarding Plates 920 9.17 Optical Activity and Circular Birefringence 922

9.18 Liquid Crystal Displays (LCDs) 924

9.19 Electro-Optic Effects 928Defining Terms 932Questions and Problems 935

Appendix A

Bragg's Diffraction Law and X-ray Diffraction 941

Appendix B Major Symbols and Abbreviations 947

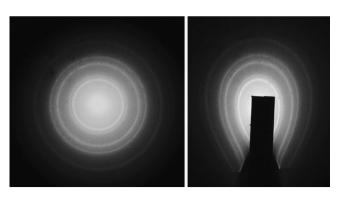
Appendix C Elements to Uranium 955

Appendix D

Constants and Useful Information 959

Index 961

Periodic Table 978



Left: Circular bright rings make up the diffraction pattern obtained when an electron beam is passed through a thin polycrystalline aluminum sheet. The pattern results from the wave behavior of the electrons; the waves are diffracted by the Al crystals. Right: A magnet brought to the screen bends the electron paths and distorts the diffraction pattern. The magnet would have no effect if the pattern was due to X-rays, which are electromagnetic waves. Courtesy of Farley Chicilo

PREFACE

FOURTH EDITION

The textbook represents a first course in electronic materials and devices for undergraduate students. With the additional topics, the text can also be used in a graduate-level introductory course in electronic materials for electrical engineers and material scientists. The fourth edition is an extensively revised and extended version of the third edition based on reviewer comments and the developments in electronic and optoelectronic materials over the last ten years. The fourth edition has many new and expanded topics, new worked examples, new illustrations, and new homework problems. The majority of the illustrations have been greatly improved to make them clearer. A very large number of new homework problems have been added, and many more solved problems have been provided that put the concepts into applications. More than 50% of the illustrations have gone through some kind of revision to improve the clarity. Furthermore, more terms have been added under Defining Terms, which the students have found very useful. Bragg's diffraction law that is mentioned in several chapters is kept as Appendix A for those readers who are unfamiliar with it.

The fourth edition is one of the few books on the market that have a broad coverage of electronic materials that today's scientists and engineers need. I believe that the revisions have improved the rigor without sacrificing the original semiquantitative approach that both the students and instructors liked. The major revisions in scientific content can be summarized as follows:

Chapter 1 Thermal expansion; kinetic molecular theory; atomic diffusion; molecular collisions and vacuum deposition; particle flux density; line defects; planar defects; crystal surfaces; Grüneisen's rule.

- Chapter 2 Temperature dependence of resistivity, strain gauges, Hall effect; ionic conduction; Einstein relation for drift mobility and diffusion; ac conductivity; resistivity of thin films; interconnects in microelectronics; electromigration.
- Chapter 3 Electron as a wave; infinite potential well; confined electron in a finite potential energy well; stimulated emission and photon amplification; He–Ne laser, optical fiber amplification.
- Chapter 4 Work function; electron photoemission; secondary emission; electron affinity and photomultiplication; Fermi–Dirac statistics; conduction in metals; thermoelectricity and Seebeck coefficient; thermocouples; phonon concentration changes with temperature.
- Chapter 5 Degenerate semiconductors; direct and indirect recombination; *E* vs. *k* diagrams for direct and indirect bandgap semiconductors; Schottky junction and depletion layer; Seebeck effect in semiconductors and voltage drift.
- Chapter 6 The *pn* junction; direct bandgap *pn* junction; depletion layer capacitance; linearly graded junction; hyperabrupt junctions; light emitting diodes (LEDs); quantum well high intensity LEDs; LED materials and structures; LED characteristics; LED spectrum; brightness

and efficiency of LEDs; multijunction solar cells.

- Chapter 7 Atomic polarizability; interfacial polarization; impact ionization in gases and breakdown; supercapacitors.
- Chapter 8 anisotropic and giant magnetoresistance; magnetic recording materials; longitudinal and vertical magnetic recording; materials for magnetic storage; superconductivity.
- Chapter 9 Refractive and group index of Si; dielectric mirrors; free carrier absorption; liquid crystal displays.

ORGANIZATION AND FEATURES

In preparing the fourth edition, as in previous edition, I tried to keep the general treatment and various proofs at a semiquantitative level without going into detailed physics. Many of the problems have been set to satisfy engineering accreditation requirements. Some chapters in the text have additional topics to allow a more detailed treatment, usually including quantum mechanics or more mathematics. Cross referencing has been avoided as much as possible without too much repetition and to allow various sections and chapters to be skipped as desired by the reader. The text has been written so as to be easily usable in onesemester courses by allowing such flexibility.

Some important features are:

- The principles are developed with the minimum of mathematics and with the emphasis on physical ideas. Quantum mechanics is part of the course but without its difficult mathematical formalism.
- There are numerous worked examples or solved problems, most of which have a practical significance. Students learn by way of examples, however simple, and to that end a large number (227 in total) of solved problems have been provided.

- Even simple concepts have examples to aid learning.
- Most students would like to have clear diagrams to help them visualize the explanations and understand concepts. The text includes 565 illustrations that have been professionally prepared to reflect the concepts and aid the explanations in the text. There are also numerous photographs of practical devices and scientists and engineers to enhance the learning experience.
- The end-of-chapter questions and problems (346 in total) are graded so that they start with easy concepts and eventually lead to more sophisticated concepts. Difficult problems are identified with an asterisk (*). Many practical applications with diagrams have been included.
- There is a glossary, *Defining Terms*, at the end of each chapter that defines some of the concepts and terms used, not only within the text but also in the problems.
- The end of each chapter includes a section *Additional Topics* to further develop important concepts, to introduce interesting applications, or to prove a theorem. These topics are intended for the keen student and can be used as part of the text for a two-semester course.
- The text is supported by McGraw-Hill's textbook website that contains resources, such as solved problems, for both students and instructors.
- The fourth edition is supported by an extensive PowerPoint presentation for instructors who have adopted the book for their course. The PowerPoint has all the illustrations in color, and includes additional color photos. The basic concepts and equations are also highlighted in additional slides.
- There is a regularly updated online extended *Solutions Manual* for all instructors; simply locate the McGraw-Hill website for this textbook. The Solutions Manual provides not only detailed explanations to the solutions, but also has color diagrams as well as

references and helpful notes for instructors. (It also has the answers to those "why?" questions in the text.)

ACKNOWLEDGMENTS

My gratitude goes to my past and present graduate students and postdoctoral research fellows, who have kept me on my toes and read various sections of this book. I have been fortunate to have a colleague and friend like Charbel Tannous (Brest University) who, as usual, made many sharply critical but helpful comments, especially on Chapter 8. My best friend and colleague of many years Robert Johanson (University of Saskatchewan). with whom I share teaching this course, also provided a number of critical comments towards the fourth edition. A number of reviewers, at various times, read various portions of the manuscript and provided extensive comments. A number of instructors also wrote to me with their own comments. I incorporated the majority of the suggestions, which I believe made this a better book. No textbook is perfect, and I'm sure that there will be more suggestions (and corrections) for the next edition. I'd like to personally thank them all for their invaluable critiques.

I'd like to thank Tina Bower, my present Product Developer, and Raghu Srinivasan, my former Global Brand Manager, at McGraw-Hill Education for their continued help throughout the writing and production of this edition. They were always enthusiastic, encouraging, forgiving (every time I missed a deadline) and always finding solutions. It has been a truly great experience working with MHE since 1993. I'm grateful to Julie De Adder (Photo Affairs) who most diligently obtained the permissions for the thirdparty photos in the fourth edition without missing any. The copyright fees (exuberant in many cases) have been duly paid and photos from this book or its PowerPoint should not be copied into other publications without contacting the original copyright holder. If you are an instructor and like the book, and would like to see a fifth edition. perhaps a color version, the best way to make your comments and suggestions heard is not to write to me but to write directly to the Electrical Engineering Editor, McGraw-Hill Education, 501 Bell St., Dubuque, IA 52001, USA. Both instructors and students are welcome to email me with their comments. While I cannot reply to each email, I do read all my emails and take note; it was those comments that led to a major content revision in this edition.

> Safa Kasap Saskatoon, March, 2017

"The important thing in science is not so much to obtain new facts as to discover new ways of thinking about them."

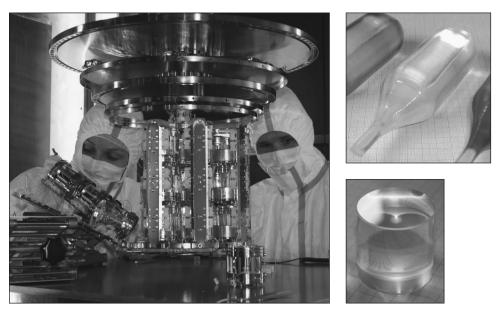
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Left: GaAs ingots and wafers. GaAs is a III–V compound semiconductor because Ga and As are from Groups III and V, respectively. Right: An In_xGa_{1-x}As (a III–V compound semiconductor)-based photodetector.

Left: Courtesy of Sumitomo Electric Industries. Right: Courtesy of Thorlabs.



Left: A detector structure that will be used to detect dark matter particles. Each individual cylindrical detector has a $CaWO_4$ single crystal, similar to that shown on the bottom right. These crystals are called scintillators, and convert high-energy radiation to light. The Czochralski technique is used to grow the crystal shown on top right, which is a $CaWO_4$ ingot. The detector crystal is cut from this ingot.

Left: Courtesy of Max Planck Institute for Physics. Right: Reproduced from Andreas Erb and Jean-Come Lanfranchi, *CrystEngCom*, 15, 2301, 2015, by permission of the Royal Society of Chemistry. All rights reserved.